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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Adrian Yap et al
Appl'n. No.: 09/836,378 Group: 2611
Filed: April 17, 2001 Examiner: Unassigned
For: 'DVR SYSTEM'

INFORMATION DISCLOSURE STATEMENT

(SUBMISSION AFTER FILING OF AN APPLICATION
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE
OR CONCURRENTLY WITH A RULE 53(d) CPA APPLICATION)

Assistant Commissioner for Patents
Washington, DC 20231

January 3, 2002

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES (check at least one box)

- a. X Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**
A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- b. X **DOCUMENTS IN THE ENGLISH LANGUAGE**
All of the patents, publications or other information are in the English language. No statement of relevancy is required.
- c. **ENGLISH LANGUAGE SEARCH REPORT**
An English language version of the search report or action which indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).
- d. **OTHER**
The following additional information is provided for the Examiner's consideration.

IV. FEES

X THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b):
(check one box)

- a. within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required.
- b. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.
- c. X before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

- a. No statement; therefore, a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).
- or
- b. X See the statement below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. X each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

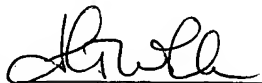
VII. PAYMENT OF FEES (check one box)

- A check in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p) is enclosed for the above-identified fee.
- Please charge Deposit Account No. 02-2448 in the amount required by 37 C.F.R. § 1.17(p) for the above-indicated fee. A triplicate copy of this paper is attached.
- X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-0383

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 50-0383 for any additional fees required under 37 CFR §1.16 or under §1.17; particularly, extension of time fees.

Respectfully submitted,

By:  01-03-02
John T. Whelan
Reg. No. 32,448

Hughes Electronics Corp.
P.O. Box 956
Bldg. 001, M/S A109
El Segundo, CA 90245-0956
(301) 428-7172

Enclosures: X PTO-1449
 X References
 X International Search Report
 — Fee
 — Other:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on: January 3, 2002


Ginger Fogle

FORM PTO 1449 (modified)				ATTY DOCKET NO. PD-200144		APPLICATION NO. 09/836,378	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANTS Adrian Yap et al			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				FILING DATE April 17, 2001		GROUP 2611	
DATE SUBMITTED TO PTO: January 3, 2002							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,034,738	3/7/00	Sparks	348	564	
		5,828,416	10/27/98	Ryan	348	512	
EXAMINER				Technology Center 2600			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	TRANSLATION YES/NO/ABS
		WO 00/07368	2/10/00	PCT			
		WO 00/10327	2/24/00	PCT			
		EP 0 930 781 A 1	8/13/97	European			
		EP 0 993 185 A2	10/8/99	European			
		EP 0 840 512 A2	11/3/97	European			
		EP 0 996 307 A1	5/13/99	European			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	/	Droitcourt, J.L. "Integra Architecture – Anatomy Of The Interactive Television Set-Top Box, How it Works, and What it means to the Consumer", International Broadcasting Convention, 12-16 September 1996					
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.